



## Maximizing The Business Value-4IT Resources Within Your Organization

### Complementary RACF Audit & Compliance Mini-Assessment - 4 April 2011

Maintaining tight control over the security audit and compliance process is a critical imperative for today's enterprises. To comply, enterprises must show that they have implemented procedures to prevent unauthorized users from accessing corporate and personal data. Even if enterprises have the means to efficiently conduct audits, they often lack the tools necessary to prevent policy and compliance violations from reoccurring. As a result, security vulnerabilities remain a constant threat, exposing companies to potential sanctions and erode the confidence of investors and customers.

As a result, the process of meeting compliance standards such as those found in the Combined Code issued by the London Stock Exchange (LSE) and the Turnbull Guidance (the Sarbanes-Oxley equivalent for publicly traded companies in the UK), the Data Protection Act 1998 (and, for the public sector, the Freedom of Information Act 2000), the regulations promulgated by the Financial Services Authority (FSA) (the FSA has oversight over the various entities that make up the financial services industry), standards set by Basel II, the Privacy and Electronic Communications Regulations 2003, and various UK criminal and civil laws, represents one of IT's most critical investments.

As a consequence, managing security in the Mainframe environment is becoming an increasingly difficult task as the list of challenges grows longer every day. Even the most experienced Security Administrators can labour under the workload as security systems increase in size and networks grow in density.

From a dispassionate viewpoint, sometimes it's a good idea to review IT policies and how they're performing, identifying areas of improvement. Similarly periodic checkpoints from experienced 3<sup>rd</sup> party personnel such as Vanguard can assist organizations improve their processes. For the subject matter of Mainframe Security, this is particularly pertinent, as audits are Business As Usual (BAU) activities, both internal and external. Thus highlighting and remedying issues before such audits, especially external audits can only be a good thing. Quite simply, no one of us is as good as all of us, so leveraging from several pairs of very experienced and independent eyes can only be a good thing.

**Get a no cost initial  
Audit & Compliance  
Mini-Assessment & Evaluation**



Find out more about the free Vanguard RACF Mini-Assessment Service, please click [here](#).

The complementary Vanguard Mini-Assessment delivers a consultancy report identifying:

- The security risks that were identified by the Mini-Assessment process
- The description and severity classification of each security risk identified
- An initial high-level introduction of how to eradicate the identified security risk & the estimated effort required to complete the remediation

Learn more at our [Vanguard Security Solutions Portal](#) or download a [z/OS Security Gartner WebEx](#) or download the [Vanguard Mainframe Security Checklist](#) that matches the very comprehensive [DoD DISA STIGs](#).

For more information regarding the Vanguard Mini-Assessment for RACF, please feel free to [Contact Us](#) for a no obligation discussion regarding your requirements. Thank you.

***When IT security & compliance are performed properly, the IT department can provide your enterprise with a potential competitive market place advantage, plus a great ROI.***

### Product Resources

- [Audit & Compliance Fact Sheet](#) (339 KB)
- [Audit & Compliance White Paper](#) (188 KB)

### Vanguard Audit & Compliance Solutions

- [Analyzer™](#)
- [Configuration Manager™](#)
- [inCompliance™](#)
- [Policy Manager™](#)

### Vanguard Identity & Access Management Solutions

- [Administrator™](#)
- [Advisor™](#)
- [SecurityCenter™](#)
- [Authenticator™](#)
- [ez/SignOn™](#)
- [ez/Token™](#)
- [Tokenless Authentication™](#)
- [ez/Integrator™](#)
- [PasswordReset™](#)

### Vanguard Intrusion Management Solutions

- [Enforcer™](#)

### ISV Web Site

- [Vanguard Integrity Professionals](#)

### Accreditations



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